

jc688 U.S. PTO  
12/30/99

Please type a plus sign (+) inside this box [+] 01-03-00

PTO/SB/05 (12/97)

Approved for use through 09/30/00. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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12/30/99  
6947526

## UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 042390.P8228

Total Pages 3

First Named Inventor or Application Identifier Andrew W. Martwick

Express Mail Label No. EL 431 887 405 US

ADDRESS TO: **Assistant Commissioner for Patents**  
**Box Patent Application**  
**Washington, D. C. 20231**

### APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2.  Specification (Total Pages 14)  
(preferred arrangement set forth below)
  - Descriptive Title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claims
  - Abstract of the Disclosure
3.  Drawings(s) (35 USC 113) (Total Sheets 3)
4.  Oath or Declaration (Total Pages 5)
  - a.  Newly Executed (Original or Copy)
  - b.  Copy from a Prior Application (37 CFR 1.63(d))  
(for Continuation/Divisional with Box 17 completed) (Note Box 5 below)
  - i.  DELETIONS OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5.  Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6.  Microfiche Computer Program (Appendix)
7.  Nucleotide and/or Amino Acid Sequence Submission

(if applicable, all necessary)

- a.  Computer Readable Copy
- b.  Paper Copy, (identical to computer copy)
- c.  Statement verifying identity of above copies

#### ACCOMPANYING APPLICATION PARTS

- 8.  Assignment Papers (cover sheet & documents(s))
  - a.  37 CFR 3.73(b) Statement (where there is an assignee)
  - b. Power of Attorney
- 10.  English Translation Document (if applicable)
- 11.  a. Information Disclosure Statement (IDS)/PTO-1449
  - b. Copies of IDS Citations
- 12.  Preliminary Amendment
- 13.  Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
- 14.  a. Small Entity Statement(s)
  - b. Statement filed in prior application, Status still proper and desired
- 15.  Certified Copy of Priority Document(s) (if foreign priority is claimed)
- 16.  Other: Certificate of Express Mail with copy of postcard showing contents of Express Mail package.

17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:

- Continuation
- Divisional
- Continuation-in-part (CIP)

of prior application No: \_\_\_\_\_

18. **Correspondence Address**

Customer Number or Bar Code Label

(Insert Customer No. or Attach Bar Code Label here)

or

Correspondence Address Below

NAME John P. Ward Reg No.: 40,216

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09/475726 P10  
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## EXPRESS MAIL CERTIFICATE OF MAILING

"Express Mail" mailing label number: EL43188740545  
Date of Deposit: December 30, 1999

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231

(Typed or printed name of person mailing paper or fee)

Lisa Kaiser  
(Signature of person mailing paper or fee)

(Date signed)

Serial/Patent No.: 5,611,111 Filing/Issue Date: 8/21/97

Client: Intel Corporation RE

Title: Integrated System Management Memory For System Management  
Interrupt Handing Components Of A Real-And Operating System.

Interrupt Handing Components Of A Real-And Operating System.

Att/Secty/Initials: JPW/CEW/lyk

bstz File No.: 04211012 Docket Due Date: 12/31/99

Date Mailed: December 30, 1999

The following has been received:

Amendment/Response (1 pg.)  Petition & Trademark Office on the date stamped hereon:

Appeal Brief (1 pg.) (intrafiled)  EL431887405  Check No. 32541  
 Application - Utility (14 pg.) with cover and claims  Am.  Amt: \$ 690.00

Application - Rule 1.53(b) Continuation (1 pg.)  Am.

Application - Rule 1.53(b) Divisional (1 pg.)  Am.

Application - Rule 1.53(b) CIP (1 pg.)  Am.

Application - Rule 1.53(d) CPA Transmittal (1 pg.)  Am.

Application - Design (1 pg.)  Am.

Application - PCT (1 pg.)  Am.

Application - Provisional (1 pg.)  Am.

Assignment and Cover Sheet  Am.

Certificate of Mailing  Am.

Declaration & POA (5 pg.) (unsigned)  Am.

Disclosure Docs & Gig & Copy of Inventor Signed Letter (1 pg.)  Am.

Drawings: 3 of sheet includes 3 figures  Am.

Other: Carry certificate of Express Mail with copy of postcard showing contents of Express Mail package.  Am.

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## FEE TRANSMITTAL FOR FY 2000

TOTAL AMOUNT OF PAYMENT (\$) 690.00

Complete if Known:

Application No. Not Yet Assigned  
 Filing Date Not Yet Assigned  
 First Named Inventor Andrew W. Martwick  
 Group Art Unit Not Yet Assigned  
 Examiner Name Not Yet Assigned  
 Attorney Docket No. 042390.P8228

METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666  
 Deposit Account Name

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

2.  Payment Enclosed:

Check  
 Money Order  
 Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity				
Fee	Fee	Fee	Fee		
Code	(\$)	Code	(\$)		
101	690	201	345	Fee Description	
106	310	206	155	Utility application filing fee	<u>Fee Paid</u>
107	480	207	240	Design application filing fee	<u>690.00</u>
108	690	208	345	Plant filing fee	<u></u>
114	150	214	75	Reissue filing fee	<u></u>
				Provisional application filing fee	<u></u>

SUBTOTAL (1) \$ 690.00

2. EXTRA CLAIM FEES

	Extra Claims	Fee from below	Fee Paid
Total Claims <u>20</u>	$- 20^{**} = 0$	<u>X</u> <u>18</u>	<u>0</u>
Independent Claims <u>3</u>	$- 3^{**} = 0$	<u>X</u> <u>78</u>	<u>0</u>
Multiple Dependent		<u>260</u>	<u>0</u>

\*\*Or number previously paid, if greater; For Reissues, see below.

Large Entity	Small Entity				
Fee	Fee	Fee	Fee		
Code	(\$)	Code	(\$)		
103	18	203	9	Fee Description	
102	78	202	39	Claims in excess of 20	
104	260	204	130	Independent claims in excess of 3	
109	78	209	39	Multiple dependent claim, if not paid	
110	18	210	9	**Reissue independent claims over original patent	
				**Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) \$ 0

**FEE CALCULATION (continued)**

**3. ADDITIONAL FEES**

<b>Large Entity</b>		<b>Small Entity</b>			
<b>Fee</b>	<b>Fee</b>	<b>Fee</b>	<b>Fee</b>	<b>Fee Description</b>	<b>Fee Paid</b>
Code	(\$)	Code	(\$)		
105	130	205	65	<b>Surcharge - late filing fee or oath</b>	
127	50	227	25	<b>Surcharge - late provisional filing fee or cover sheet</b>	
139	130	139	130	<b>Non-English specification</b>	
147	2,520	147	2,520	<b>For filing a request for reexamination</b>	
112	920*	112	920*	<b>Requesting publication of SIR prior to Examiner action</b>	
113	1,840*	113	1,840*	<b>Requesting publication of SIR after Examiner action</b>	
115	110	215	55	<b>Extension for response within first month</b>	
116	380	216	190	<b>Extension for response within second month</b>	
117	870	217	435	<b>Extension for response within third month</b>	
118	1,360	218	680	<b>Extension for response within fourth month</b>	
128	1,850	228	925	<b>Extension for response within fifth month</b>	
119	300	219	150	<b>Notice of Appeal</b>	
120	300	220	150	<b>Filing a brief in support of an appeal</b>	
121	260	221	130	<b>Request for oral hearing</b>	
138	1,510	138	1,510	<b>Petition to institute a public use proceeding</b>	
140	110	240	55	<b>Petition to revive unavoidably abandoned application</b>	
141	1,210	241	605	<b>Petition to revive unintentionally abandoned application</b>	
142	1,210	242	605	<b>Utility issue fee (or reissue)</b>	
143	430	243	215	<b>Design issue fee</b>	
144	580	244	290	<b>Plant issue fee</b>	
122	130	122	130	<b>Petitions to the Commissioner</b>	
123	50	123	50	<b>Petitions related to provisional applications</b>	
126	240	126	240	<b>Submission of Information Disclosure Stmt</b>	
581	40	581	40	<b>Recording each patent assignment per property (times number of properties)</b>	
146	760	246	380	<b>For filing a submission after final rejection (see 37 CFR 1.129(a))</b>	
149	760	249	380	<b>For each additional invention to be examined (see 37 CFR 1.129(a))</b>	
<b>Other fee (specify)</b>					
<b>Other fee (specify)</b>					

**SUBTOTAL (3) \$ 0**

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY:**

Typed or Printed Name: John Patrick Ward  
 Signature John Patrick Ward Date 12/30/99  
 Reg. Number 40/216 Deposit Account User ID 02-2666  
 (complete if applicable)